

<\$50M IN REVENUE

The chart below outlines all companies that have less than \$50M in revenue. Use this to compare your audit fees to your peer grouping.

| Company or Fund | Auditor Type | Audit Fees/Audit-Related Fees | | | Revenue | | |
|-------------------------------------|--------------|-------------------------------|-------------|-------------|--------------|---------------|---------------|
| | | 2019 | 2018 | 2017 | 2019 | 2018 | 2017 |
| Acelrx Pharmaceuticals Inc | National | \$717,006 | \$776,993 | \$644,380 | \$2,289,000 | \$2,151,000 | \$7,995,000 |
| Acacia Research Corp | National | \$531,000 | \$427,000 | \$605,000 | \$11,246,000 | \$131,506,000 | \$118,641,000 |
| Aerocentury Corp | National | \$400,550 | \$418,000 | \$316,000 | \$43,259,400 | \$30,517,500 | \$34,461,100 |
| Adamis Pharmaceuticals Corp. | National | \$44,615 | \$338,000 | \$324,000 | \$21,871,307 | \$15,086,600 | \$13,073,259 |
| Adomani, Inc. | Regional | \$73,050 | \$114,000 | \$114,000 | \$12,561,000 | \$5,011,000 | \$425,000 |
| Aduro Biotech, Inc. | Big 4 | \$611,025 | \$656,805 | \$695,952 | \$17,258,000 | \$15,087,000 | \$17,239,000 |
| Adverum Biotechnologies, Inc. | Big 4 | \$955,500 | \$450,000 | \$485,000 | \$250,000 | \$1,612,000 | \$1,849,000 |
| Aehr Test Systems | Regional | \$171,626 | \$166,649 | \$161,852 | \$21,056,000 | \$29,555,000 | \$18,898,000 |
| Aethlon Medical Inc | Regional | \$113,503 | \$111,780 | \$110,946 | \$229,625 | \$149,625 | \$392,073 |
| Aftermaster, Inc. | Regional | \$110,000 | \$122,000 | \$115,000 | \$976,322 | \$1,649,500 | \$879,984 |
| AgeX Therapeutics, Inc. | National | \$288,000 | \$232,000 | \$369,000 | \$1,855,000 | \$1,396,000 | \$1,404,000 |
| Agentix Corp. | Regional | \$17,500 | \$23,000 | \$24,000 | \$- | \$- | \$- |
| Athena Silver Corp. | Regional | \$24,500 | \$24,500 | \$24,000 | \$- | \$1,300,000 | \$1,300,000 |
| Aimmune Therapeutics, Inc. | Big 4 | \$916,000 | \$726,000 | \$627,000 | \$- | \$- | \$- |
| Aerkomm Inc. | Regional | \$226,000 | \$188,000 | \$156,000 | \$1,600,000 | \$1,745,000 | \$7,834 |
| Akero Therapeutics, Inc. | Big 4 | \$1,364,300 | \$38,684 | \$- | \$- | \$- | \$- |
| Applife Digital Solutions Inc | Regional | \$56,000 | \$19,540 | \$- | \$1,455,385 | \$- | \$- |
| Alector, Inc. | Big 4 | \$929,636 | \$1,144,160 | \$80,000 | \$21,219,000 | \$27,677,000 | \$3,735,000 |
| Allakos Inc. | Big 4 | \$1,356,000 | \$1,428,000 | \$528,000 | \$- | \$- | \$- |
| Allogene Therapeutics, Inc. | Big 4 | \$1,228,000 | \$1,518,000 | \$26,000 | \$- | \$- | \$- |
| American River Bankshares | National | \$242,440 | \$228,800 | \$233,800 | \$24,237,000 | \$21,984,000 | \$22,010,000 |
| American Shared Hospital Services | National | \$286,000 | \$239,000 | \$274,000 | \$20,605,000 | \$19,714,000 | \$19,556,000 |
| Anaptysbio, Inc | Big 4 | \$573,000 | \$562,000 | \$553,000 | \$8,000,000 | \$5,000,000 | \$10,000,000 |
| Anworth Mortgage Asset Corp | National | \$478,800 | \$489,090 | \$459,323 | \$- | \$289,000,000 | \$67,677,000 |
| Anixa Biosciences Inc | Regional | \$79,850 | \$82,035 | \$81,125 | \$250,000 | \$1,112,500 | \$362,500 |
| Apex Global Brands Inc. | Big 4 | \$755,000 | \$715,000 | \$1,646,300 | \$24,444,000 | \$29,365,000 | \$34,022,000 |
| Apex Resources Inc. | Regional | \$14,750 | \$3,750 | \$3,750 | \$- | \$94,264 | \$125,386 |
| Arcturus Therapeutics Holdings Inc. | Big 4 | \$923,000 | \$724,000 | \$651,000 | \$20,789,000 | \$15,753,000 | \$- |
| Aridis Pharmaceuticals, Inc. | National | \$357,069 | \$463,957 | \$145,181 | \$1,022,000 | \$2,757,000 | \$860,000 |
| Ardelyx, Inc. | Big 4 | \$1,646,800 | \$909,200 | \$875,268 | \$5,281,000 | \$2,607,000 | \$42,000,000 |
| Armata Pharmaceuticals, Inc. | Big 4 | \$277,000 | \$270,000 | \$324,000 | \$- | \$- | \$- |

<\$50M IN REVENUE

| Company or Fund | Auditor Type | Audit Fees/Audit-Related Fees | | | Revenue | | |
|---------------------------------------|--------------|-------------------------------|-------------|-------------|--------------|--------------|--------------|
| | | 2019 | 2018 | 2017 | 2019 | 2018 | 2017 |
| Artelo Biosciences, Inc. | Regional | \$44,000 | \$51,235 | \$11,700 | \$- | \$- | \$- |
| Atomera Inc | National | \$133,000 | \$147,000 | \$119,000 | \$533,000 | \$246,000 | \$110,000 |
| Atara Biotherapeutics, Inc. | Big 4 | \$1,041,000 | \$950,000 | \$758,000 | \$- | \$- | \$- |
| Aura Systems Inc | Regional | \$55,000 | \$55,000 | \$82,500 | \$39,274 | \$2,600,000 | \$2,600,000 |
| Avinger Inc. | National | \$469,832 | \$808,511 | \$1,002,454 | \$9,131,000 | \$7,915,000 | \$9,934,000 |
| Axonics Modulation Technologies, Inc. | National | \$331,183 | \$283,291 | \$149,002 | \$13,820,000 | \$707,000 | \$128,000 |
| Banner Energy Services | National | \$72,500 | \$72,500 | \$72,500 | \$- | \$- | \$- |
| BridgeBio Pharma, Inc. | Big 4 | \$2,501,150 | \$1,566,700 | \$- | \$40,560,000 | \$- | \$- |
| Atreca, Inc. | National | \$556,626 | \$194,383 | \$- | \$- | \$- | \$- |
| Biocept Inc | National | \$373,682 | \$423,826 | \$272,068 | \$5,528,566 | \$3,250,300 | \$5,068,663 |
| Biolase, Inc | National | \$342,865 | \$277,186 | \$291,246 | \$37,799,000 | \$46,155,000 | \$46,926,000 |
| Biovie Inc. | National | \$63,000 | \$22,000 | \$12,000 | \$- | \$- | \$- |
| Biolargo, Inc. | Regional | \$91,150 | \$79,600 | \$82,000 | \$1,936,000 | \$1,364,000 | \$516,000 |
| Biomerica Inc | Regional | \$65,932 | \$63,014 | \$64,619 | \$5,200,682 | \$5,564,180 | \$5,791,670 |
| Bionano Genomics, Inc | Big 4 | \$640,000 | \$1,020,000 | \$45,000 | \$10,129,508 | \$12,000,700 | \$10,746,673 |
| United States Brent Oil Fund, LP | Regional | \$35,000 | \$35,000 | \$35,000 | \$1,383,419 | \$1,284,170 | \$654,768 |
| Biopharmx Corp | Regional | \$205,000 | \$304,000 | \$331,000 | \$57,000 | \$73,000 | \$100,000 |
| Barfresh Food Group Inc. | National | \$85,195 | \$71,985 | \$66,439 | \$4,306,785 | \$4,235,160 | \$1,997,012 |
| Biosolar Inc | Regional | \$23,000 | \$23,000 | \$23,000 | \$- | \$- | \$- |
| Broadway Financial Corp | National | \$197,000 | \$192,000 | \$195,000 | \$11,207,000 | \$12,427,000 | \$16,287,000 |
| Calithera Biosciences, Inc. | Big 4 | \$1,484,000 | \$757,000 | \$775,000 | \$- | \$22,254,000 | \$25,955,000 |
| Capricor Therapeutics, Inc. | Regional | \$72,750 | \$97,000 | \$91,250 | \$1,005,028 | \$1,671,360 | \$2,666,340 |
| Catasys, Inc. | National | \$348,250 | \$156,100 | \$139,500 | \$35,100,000 | \$15,177,000 | \$7,717,000 |
| Cymabay Therapeutics, Inc. | Big 4 | \$1,341,800 | \$1,573,450 | \$946,512 | \$- | \$- | \$10,000,000 |
| Catalyst Biosciences, Inc. | National | \$369,671 | \$339,600 | \$282,974 | \$- | \$6,000 | \$1,018,000 |
| Crescent Capital BDC, Inc. | Big 4 | \$444,750 | \$384,500 | \$377,500 | \$40,114,480 | \$33,295,124 | \$22,291,677 |
| ChemoCentryx, Inc. | Big 4 | \$1,103,290 | \$998,497 | \$825,800 | \$36,128,000 | \$42,875,000 | \$82,497,000 |
| Cidara Therapeutics, Inc. | Big 4 | \$570,946 | \$658,767 | \$457,005 | \$20,915,000 | \$- | \$- |
| ChromaDex Corp. | National | \$434,000 | \$350,000 | \$435,000 | \$46,291,000 | \$31,557,000 | \$21,201,482 |
| Cadiz Inc | Big 4 | \$413,000 | \$328,000 | \$270,000 | \$441,000 | \$440,000 | \$437,000 |
| Clean Energy Technologies, Inc. | Regional | \$48,254 | \$50,528 | \$50,528 | \$1,610,008 | \$1,331,170 | \$957,633 |

<\$50M IN REVENUE

| Company or Fund | Auditor Type | Audit Fees/Audit-Related Fees | | | Revenue | | |
|--|--------------|-------------------------------|-------------|-------------|--------------|---------------|--------------|
| | | 2019 | 2018 | 2017 | 2019 | 2018 | 2017 |
| California First National Bancorp | Regional | | \$- | \$122,000 | \$1,570,000 | \$17,526,000 | \$26,313,000 |
| True Drinks Holdings, Inc. | Regional | \$165,500 | \$66,000 | \$94,800 | \$20,497,000 | \$1,947,050 | \$3,823,000 |
| Chineseinvestors.Com, Inc. | Regional | \$83,140 | \$72,277 | \$60,080 | \$6,476,442 | \$2,353,330 | \$1,667,977 |
| Clearpoint Neuro, Inc. | Regional | \$163,700 | \$151,200 | \$195,815 | \$11,216,937 | \$7,353,266 | \$7,379,525 |
| Concierge Technologies Inc | Regional | \$342,597 | \$333,191 | \$75,960 | \$26,948,623 | \$28,710,600 | \$32,011,121 |
| MAKINGORG, INC. | Regional | \$22,000 | \$25,000 | \$16,000 | \$375,251 | \$- | \$54,605 |
| Cardiff Oncology Inc. | National | \$339,507 | \$226,646 | \$272,618 | \$250,315 | \$378,325 | \$505,404 |
| Crinetics Pharmaceuticals, Inc. | National | \$379,174 | \$732,652 | \$110,282 | \$1,193,000 | \$2,428,000 | \$2,442,000 |
| Cortexyme, Inc. | National | \$817,990 | \$103,355 | \$- | \$- | \$- | \$- |
| Corvus Pharmaceuticals, Inc. | Big 4 | \$551,000 | \$625,226 | \$675,140 | \$- | \$- | \$- |
| Cynergistek Inc. | Regional | \$127,200 | \$156,450 | \$140,185 | \$21,364,810 | \$71,106,500 | \$71,638,947 |
| Cure Pharmaceutical Holding Corp. | Regional | \$94,811 | \$519,000 | \$85,000 | \$361,000 | \$583,941 | \$180,404 |
| Cavitation Technologies, Inc. | Regional | \$77,000 | \$83,000 | \$68,000 | \$1,090,000 | \$1,303,000 | \$1,897,000 |
| Community West Bancshares | National | \$273,064 | \$253,435 | \$223,500 | \$38,129,000 | \$36,257,000 | \$40,341,000 |
| CohBar, Inc. | National | \$105,150 | \$125,322 | \$115,984 | \$- | \$- | \$- |
| CurrencyWorks Inc. | Regional | \$55,000 | \$41,000 | \$15,000 | \$250,000 | \$- | \$500,000 |
| Cyberfort Software, Inc. | Regional | \$32,500 | \$32,500 | \$45,650 | \$- | \$- | \$- |
| Cytokinetics Inc | Big 4 | \$1,531,500 | \$1,376,741 | \$1,169,250 | \$26,868,000 | \$31,501,000 | \$13,368,000 |
| Cytrx Corp. | National | \$90,000 | \$180,220 | \$425,210 | \$- | \$250,000 | \$100,000 |
| Dare Bioscience, Inc. | National | \$189,180 | \$188,560 | \$227,139 | \$- | \$- | \$- |
| Daily Journal Corp | Regional | \$263,000 | \$285,000 | \$285,000 | \$48,655,000 | \$40,703,000 | \$41,384,000 |
| Digital Locations, Inc. | Regional | \$30,250 | \$63,500 | \$42,000 | \$- | \$23,776 | \$- |
| DelMar Pharmaceuticals, Inc. | Big 4 | \$132,800 | \$137,800 | \$112,000 | \$- | \$- | \$- |
| DermTech, Inc. | Big 4 | \$1,339,180 | \$410,003 | \$10,000 | \$3,364,000 | \$- | \$- |
| Denali Therapeutics Inc. | Big 4 | \$1,185,000 | \$824,000 | \$1,393,000 | \$26,678,000 | \$129,160,000 | \$- |
| United States Short Oil Fund, LP | Regional | \$25,000 | \$25,000 | \$25,000 | \$- | \$- | \$- |
| DP Holdings | National | \$775,620 | \$610,564 | \$313,986 | \$25,638,389 | \$27,154,200 | \$10,175,000 |
| Durect Corp | Big 4 | \$1,097,630 | \$1,105,320 | \$986,400 | \$29,564,000 | \$18,564,000 | \$49,170,000 |
| Driven Deliveries, Inc. | Regional | \$81,791 | \$22,500 | \$16,000 | \$2,822,575 | \$- | \$- |
| Defense Technologies International Corp. | Regional | \$34,939 | \$17,600 | \$100,000 | \$- | \$- | \$- |
| Dynavax Technologies Corp | Big 4 | \$1,475,390 | \$1,442,680 | \$1,203,800 | \$35,219,000 | \$8,198,000 | \$327,000 |

<\$50M IN REVENUE

| Company or Fund | Auditor Type | Audit Fees/Audit-Related Fees | | | Revenue | | |
|------------------------------------|--------------|-------------------------------|-------------|-------------|--------------|--------------|--------------|
| | | 2019 | 2018 | 2017 | 2019 | 2018 | 2017 |
| ECOMAT Inc. | Regional | \$5,650 | \$5,650 | \$5,350 | \$- | \$400,000 | \$400,000 |
| Eidos Therapeutics, Inc. | Big 4 | \$901,899 | \$3,285,160 | \$694,000 | \$26,691,000 | \$- | \$- |
| Eiger Biopharmaceuticals, Inc. | Big 4 | \$1,045,990 | \$596,900 | \$400,000 | \$- | \$- | \$- |
| Ekso Bionics Holdings, Inc. | National | \$261,784 | \$375,961 | \$213,711 | \$13,917,000 | \$11,332,000 | \$7,353,000 |
| Emerald Bioscience, Inc. | National | \$328,514 | \$135,000 | \$72,500 | \$- | \$- | \$- |
| Endonovo Therapeutics, Inc. | Regional | \$87,315 | \$80,790 | \$77,050 | \$310,164 | \$83,263 | \$- |
| Enochian Biosciences Inc | Regional | \$79,089 | \$144,714 | \$56,474 | \$- | \$- | \$- |
| Evolus, Inc. | Big 4 | \$1,284,000 | \$855,000 | \$635,000 | \$34,925,000 | \$- | \$- |
| Equillium, Inc. | Big 4 | \$402,785 | \$638,989 | \$60,192 | \$- | \$- | \$- |
| 89Bio, Inc. | Big 4 | \$546,387 | \$30,590 | \$- | \$- | \$- | \$- |
| Evoform Biosciences, Inc. | Big 4 | \$678,625 | \$838,547 | \$485,736 | \$- | \$- | \$- |
| Evoke Pharma Inc | National | \$148,919 | \$142,594 | \$186,656 | \$- | \$- | \$- |
| Envision Solar International, Inc. | Regional | \$77,107 | \$69,900 | \$62,900 | \$5,111,545 | \$6,162,400 | \$1,412,042 |
| Merion, Inc. | Regional | \$118,000 | \$115,000 | \$79,000 | \$1,807,965 | \$379,026 | \$760,172 |
| Second Sight Medical Products Inc. | Regional | \$117,500 | \$117,500 | \$117,500 | \$3,379,000 | \$6,896,000 | \$7,964,000 |
| Fat Brands, Inc | Regional | \$244,000 | \$331,000 | \$205,000 | \$22,055,000 | \$18,367,000 | \$2,173,000 |
| Fate Therapeutics Inc | Big 4 | \$647,448 | \$675,099 | \$464,676 | \$10,680,000 | \$4,740,000 | \$4,106,000 |
| Focus Universal Inc. | Regional | \$91,460 | \$16,000 | \$24,000 | \$1,617,096 | \$308,129 | \$898,084 |
| Forge Innovation Development Corp. | Regional | \$9,500 | \$10,000 | \$11,000 | \$36,000 | \$36,000 | \$15,000 |
| Franklin Wireless Corp | Regional | \$68,845 | \$65,675 | \$67,020 | \$36,468,900 | \$30,065,800 | \$48,565,524 |
| Fulgent Genetics, Inc. | Big 4 | \$1,048,030 | \$772,318 | \$701,516 | \$32,528,000 | \$21,351,000 | \$18,730,000 |
| Flux Power Holdings, Inc. | Regional | \$175,300 | \$94,000 | \$90,000 | \$9,317,000 | \$4,118,000 | \$902,000 |
| Finjan Holdings, Inc. | National | \$160,603 | \$175,750 | \$164,973 | \$16,950,000 | \$82,300,000 | \$50,484,000 |
| Five Prime Therapeutics, Inc. | Big 4 | \$1,292,900 | \$1,592,100 | \$1,188,400 | \$14,874,000 | \$49,868,000 | \$39,508,000 |
| Freeze Tag, Inc. | Regional | \$43,000 | \$43,000 | \$38,200 | \$1,926,748 | \$2,113,150 | \$2,217,975 |
| Forty Seven, Inc. | Big 4 | \$796,000 | \$1,355,000 | \$342,000 | \$15,678,000 | \$- | \$- |
| Fuse Group Holding Inc. | Regional | \$48,500 | \$34,500 | \$25,000 | \$1,216,000 | \$- | \$- |
| Global Blood Therapeutics, Inc. | Big 4 | \$935,000 | \$895,035 | \$855,000 | \$2,108,000 | \$- | \$- |
| Geron Corp | Big 4 | \$664,285 | \$652,750 | \$490,000 | \$460,000 | \$1,066,000 | \$1,065,000 |
| Goliath Film & Media Holdings | Regional | \$22,000 | \$21,000 | \$20,000 | \$13,660 | \$- | \$250,000 |
| Genufood Energy Enzymes Corp. | Regional | \$17,288 | \$- | \$44,300 | \$- | \$- | \$- |

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|--|--------------|-------------------------------|-------------|-----------|--------------|---------------|--------------|
| | | 2019 | 2018 | 2017 | 2019 | 2018 | 2017 |
| Guardion Health Sciences, Inc. | Regional | \$92,467 | \$100,990 | \$129,834 | \$906,122 | \$942,153 | \$437,349 |
| Giga Tronics Inc | Regional | \$134,967 | \$195,433 | \$168,250 | \$11,148,000 | \$9,800,000 | \$9,800,000 |
| Generation Alpha, Inc. | Regional | \$128,536 | \$173,070 | \$94,232 | \$1,965,163 | \$3,348,290 | \$8,975,840 |
| Genasys Inc. | Regional | \$161,675 | \$179,150 | \$127,371 | \$36,979,055 | \$26,306,790 | \$- |
| Genius Brands International, Inc. | Regional | \$107,500 | \$95,000 | \$74,000 | \$5,907,899 | \$993,452 | \$5,335,728 |
| Gossamer Bio, Inc. | Big 4 | \$383,922 | \$1,162,830 | \$75,462 | \$- | \$- | \$- |
| Grapefruit USA Inc. | Regional | \$35,600 | \$58,500 | \$88,000 | \$516,059 | \$1,700,000 | \$41,829 |
| GreenBox POS | Regional | \$58,000 | \$12,000 | \$14,400 | \$9,222,064 | \$- | \$- |
| Gritstone Oncology, Inc. | Big 4 | \$1,200,000 | \$2,044,000 | \$281,000 | \$4,365,000 | \$1,187,000 | \$- |
| Green Hygienics Holdings Inc. | Regional | \$6,759 | \$6,000 | \$3,000 | \$- | \$- | \$- |
| iShares S&P Gsci Commodity-Indexed Trust | Big 4 | \$61,800 | \$61,800 | \$61,800 | \$21,394,995 | \$24,497,200 | \$9,707,419 |
| GSI Technology Inc. | National | \$558,693 | \$671,087 | \$821,000 | \$43,343,000 | \$51,486,000 | \$42,643,000 |
| Graphene & Solar Technologies Ltd | Regional | \$50,000 | \$89,995 | \$10,000 | \$- | \$1,900,000 | \$1,900,000 |
| GT Biopharma, Inc. | Regional | \$70,500 | \$69,000 | \$64,000 | \$- | \$5,800,000 | \$5,800,000 |
| GBT Technologies Inc. | Regional | \$138,212 | \$84,000 | \$89,800 | \$19,277,058 | \$51,569,600 | \$540,550 |
| GTX Corp | Regional | \$80,532 | \$90,642 | \$59,500 | \$1,494,622 | \$687,043 | \$538,388 |
| Harpoon Therapeutics, Inc. | Big 4 | \$937,792 | \$1,227,650 | \$315,499 | \$5,777,000 | \$4,750,000 | \$708,000 |
| Hartford Great Health Corp. | Regional | \$18,000 | \$9,900 | \$9,000 | \$56,174 | \$- | \$- |
| Heritage Global Inc. | Regional | \$130,000 | \$126,000 | \$126,000 | \$23,861,000 | \$23,664,000 | \$20,129,000 |
| Humanigen, Inc | Regional | \$180,000 | \$238,000 | \$246,354 | \$- | \$- | \$- |
| Hancock Jaffe Laboratories, Inc. | National | \$101,350 | \$103,195 | \$126,655 | \$31,243 | \$186,552 | \$551,847 |
| Almost Never Films Inc. | Regional | \$36,000 | \$29,676 | \$8,000 | \$2,526,346 | \$10,000 | \$10,000 |
| Hennessy Advisors Inc. | National | \$179,272 | \$130,000 | \$125,000 | \$41,631,000 | \$53,363,000 | \$51,846,000 |
| Hqda Elderly Life Network Corp. | Regional | \$18,000 | \$25,000 | \$5,000 | \$477,958 | \$- | \$50,000 |
| Hyrecar Inc. | Regional | \$177,374 | \$113,106 | \$47,068 | \$15,854,924 | \$9,777,080 | \$4,432,732 |
| Hypersolar, Inc. | Regional | \$25,500 | \$23,000 | \$23,000 | \$- | \$- | \$- |
| Ideaya Biosciences, Inc. | Big 4 | \$1,669,900 | \$435,000 | \$- | \$- | \$- | \$- |
| IGEN Networks Corp | Regional | \$75,000 | \$66,500 | \$50,600 | \$723,819 | \$1,198,880 | \$1,347,059 |
| Igm Biosciences, Inc. | Big 4 | \$429,961 | \$725,625 | \$- | \$- | \$- | \$- |
| International Land Alliance Inc. | Regional | \$56,300 | \$37,500 | \$28,500 | \$478,882 | \$- | \$- |
| Immersion Corp | Big 4 | \$930,949 | \$735,647 | \$636,846 | \$35,953,000 | \$110,979,000 | \$35,013,000 |
| Inmune Bio, Inc. | Regional | \$126,850 | \$106,757 | \$68,345 | \$- | \$- | \$- |

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|---|--------------|-------------------------------|-----------|-------------|--------------|--------------|--------------|
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| Inovio Pharmaceuticals, Inc. | Big 4 | \$1,242,320 | \$955,422 | \$895,861 | \$4,111,930 | \$30,481,900 | \$42,220,086 |
| Inpixon | National | \$399,382 | \$499,850 | \$453,894 | \$6,301,000 | \$3,756,000 | \$45,134,000 |
| I-ON Digital Corp. | Regional | \$75,000 | \$66,000 | \$38,000 | \$8,600,568 | \$7,091,650 | \$9,206,355 |
| Iovance Biotherapeutics, Inc. | National | \$423,601 | \$375,965 | \$331,148 | \$- | \$- | \$- |
| Iridex Corp | Regional | \$444,000 | \$547,000 | \$591,000 | \$43,447,000 | \$42,600,000 | \$41,593,000 |
| International Stem Cell CORP | National | \$443,000 | \$561,000 | \$415,000 | \$8,858,000 | \$11,089,000 | \$7,456,000 |
| iSign Solutions Inc. | Regional | \$63,000 | \$52,537 | \$42,222 | \$844,000 | \$917,000 | \$1,013,000 |
| Interdyne Co | Regional | \$15,025 | \$14,025 | \$11,796 | \$- | \$- | \$- |
| Imageware Systems Inc | National | \$374,000 | \$396,000 | \$248,000 | \$3,506,000 | \$4,404,000 | \$4,293,000 |
| Jaguar Health, Inc. | National | \$570,497 | \$599,140 | \$594,909 | \$5,775,257 | \$4,416,140 | \$4,361,186 |
| Jubilant Flame International, Ltd | Regional | \$16,500 | \$13,500 | \$12,700 | \$53,143 | \$5,103 | \$- |
| Jakroo Inc. | Regional | \$65,000 | \$65,000 | \$71,855 | \$10,475,114 | \$10,975,300 | \$9,470,585 |
| Kindred Biosciences, Inc. | Regional | \$212,324 | \$194,791 | \$109,450 | \$4,256,000 | \$1,966,000 | \$- |
| Resonate Blends, Inc | Regional | \$18,000 | \$18,000 | \$34,000 | \$1,050,161 | \$1,066,410 | \$943,739 |
| Kodiak Sciences Inc. | Big 4 | \$1,015,990 | \$662,482 | \$2,074,570 | \$- | \$- | \$- |
| Kura Oncology, Inc. | Big 4 | \$795,752 | \$460,717 | \$565,970 | \$- | \$- | \$- |
| Kiwa Bio-Tech Products Group Corp | Regional | \$220,000 | \$205,000 | \$135,000 | \$40,089,457 | \$30,650,400 | \$17,270,069 |
| Kezar Life Sciences, Inc. | Big 4 | \$543,000 | \$912,800 | \$82,000 | \$- | \$- | \$- |
| Lineage Cell Therapeutics Inc. | National | \$503,000 | \$604,000 | \$985,000 | \$1,480,000 | \$4,988,000 | \$3,458,000 |
| LGBTQ Loyalty Holdings, Inc. | Regional | \$82,500 | \$42,900 | \$27,500 | \$3,337 | \$2,574 | \$3,793 |
| aTYR Pharma Inc | Big 4 | \$300,152 | \$349,966 | \$386,131 | \$422,000 | \$- | \$- |
| LiveXLive Media, Inc. | National | \$526,000 | \$232,000 | \$154,543 | \$33,701,000 | \$7,195,000 | \$225,000 |
| La Jolla Pharmaceutical Co | Regional | \$183,798 | \$175,191 | \$145,471 | \$23,054,000 | \$10,056,000 | \$- |
| Liquidmetal Technologies Inc | Regional | \$194,039 | \$160,913 | \$178,024 | \$1,373,000 | \$532,000 | \$321,000 |
| Lord Global Corp | Regional | \$30,500 | \$27,000 | \$18,500 | \$1,108 | \$2,827 | \$84,297 |
| Lantronix Inc | Regional | \$151,500 | \$151,500 | \$151,500 | \$46,890,000 | \$45,580,000 | \$44,730,000 |
| Mateon Therapeutics Inc. (Fka Oxigene Inc.) | National | \$90,000 | \$181,744 | \$213,095 | \$- | \$100,000 | \$- |
| Max Sound Corp. | Regional | \$28,000 | \$34,600 | \$75,600 | \$- | \$- | \$- |
| Marrone Bio Innovations Inc | National | \$1,358,000 | \$454,543 | \$1,525,220 | \$30,307,000 | \$21,220,000 | \$18,167,000 |
| McorpCX, Inc. | Regional | \$56,500 | \$47,500 | \$44,500 | \$2,899,473 | \$3,987,200 | \$2,514,014 |
| Marijuana Co of America, Inc. | Regional | \$55,032 | \$38,384 | \$16,000 | \$695,076 | \$252,135 | \$26,830 |
| MEI Pharma, Inc. | National | \$284,500 | \$288,100 | \$197,000 | \$4,915,000 | \$1,622,000 | \$23,249,000 |

<\$50M IN REVENUE

| Company or Fund | Auditor Type | Audit Fees/Audit-Related Fees | | | Revenue | | |
|-----------------------------------|--------------|-------------------------------|-------------|-------------|--------------|--------------|---------------|
| | | 2019 | 2018 | 2017 | 2019 | 2018 | 2017 |
| Holly Brothers Pictures, Inc. | Regional | \$14,500 | \$20,000 | \$9,773 | \$- | \$- | \$- |
| Mirum Pharmaceuticals, Inc. | Big 4 | \$921,748 | \$309,500 | \$- | \$- | \$- | \$- |
| Medicinova Inc | National | \$307,077 | \$258,014 | \$287,452 | \$- | \$- | \$- |
| Mentor Capital, Inc. | Regional | \$78,300 | \$59,400 | \$51,405 | \$4,399,939 | \$5,281,530 | \$3,182,700 |
| Modular Medical, Inc. | Regional | \$23,500 | \$22,000 | \$6,800 | \$- | \$- | \$- |
| Mosys, Inc. | Regional | \$239,000 | \$251,000 | \$227,000 | \$7,826,000 | \$16,600,000 | \$8,842,000 |
| Marin Software Inc | National | \$741,900 | \$982,500 | \$1,032,340 | \$49,036,000 | \$58,631,000 | \$74,991,000 |
| Mirati Therapeutics, Inc. | Big 4 | \$703,732 | \$622,368 | \$412,797 | \$3,335,000 | \$12,926,000 | \$- |
| Myokardia, Inc. | Big 4 | \$1,641,500 | \$2,051,000 | \$1,058,000 | \$- | \$33,558,000 | \$22,500,000 |
| Nascent Biotech Inc. | Regional | \$31,000 | \$30,500 | \$35,300 | \$- | \$- | \$3,000,000 |
| NovaBay Pharmaceuticals, Inc. | National | \$237,054 | \$220,370 | \$222,721 | \$6,599,000 | \$12,508,000 | \$18,230,000 |
| Nebula Acquisition Corp | Regional | \$57,000 | \$72,000 | \$22,500 | \$- | \$- | \$- |
| Nate's Food Co. | Regional | \$6,000 | \$6,000 | \$31,150 | \$3,255 | \$3,255 | \$4,486 |
| NantKwest, Inc. | Big 4 | \$828,602 | \$854,967 | \$844,178 | \$43,000 | \$47,000 | \$45,000 |
| Netlist Inc. | Regional | \$126,800 | \$137,600 | \$152,640 | \$35,629,000 | \$33,529,000 | \$38,322,000 |
| Ntn Buzztime Inc. | Regional | \$180,000 | \$166,000 | \$152,000 | \$17,368,000 | \$23,335,000 | \$21,274,000 |
| Nova Lifestyle, Inc. | Regional | \$641,163 | \$221,697 | \$321,866 | \$21,983,279 | \$88,645,200 | \$106,494,132 |
| Novus Therapeutics, Inc. | Big 4 | \$511,070 | \$541,000 | \$542,000 | \$- | \$- | \$- |
| Obalon Therapeutics Inc | Big 4 | \$627,500 | \$534,575 | \$580,860 | \$3,281,000 | \$9,101,000 | \$9,914,000 |
| Oaktree Strategic Income Corp | Big 4 | \$475,000 | \$525,566 | \$544,163 | \$35,099,152 | \$33,290,700 | \$35,802,014 |
| Oncocyte Corp | National | \$179,780 | \$168,170 | \$201,228 | \$16,000 | \$- | \$- |
| Odonate Therapeutics, Inc. | Regional | \$145,161 | \$109,383 | \$203,342 | \$- | \$- | \$- |
| Odyssey Group International, Inc. | Regional | \$60,430 | \$42,070 | \$34,400 | \$- | \$37,452 | \$37,452 |
| Omnitek Engineering Corp | Regional | \$37,417 | \$36,190 | \$30,500 | \$843,998 | \$1,285,690 | \$1,074,213 |
| Oncernal Therapeutics, Inc. | National | \$501,148 | \$418,438 | \$345,453 | \$2,425,000 | \$12,400,000 | \$12,400,000 |
| Ondas Holdings Inc. | National | \$149,740 | \$107,300 | \$45,000 | \$320,383 | \$190,029 | \$274,403 |
| Organovo Holdings, Inc. | National | \$345,000 | \$339,000 | \$290,600 | \$3,091,000 | \$4,603,000 | \$4,230,000 |
| Opiant Pharmaceuticals, Inc. | Regional | \$95,700 | \$96,500 | \$56,000 | \$40,519,800 | \$13,982,200 | \$18,445,996 |
| Otonomy, Inc. | Big 4 | \$528,000 | \$463,000 | \$387,000 | \$600,000 | \$745,000 | \$1,236,000 |
| Oak Valley Bancorp | National | \$231,451 | \$221,122 | \$181,000 | \$45,536,000 | \$42,725,000 | \$41,221,000 |
| Pacific Ventures Group, Inc. | Regional | \$26,000 | \$10,500 | \$10,500 | \$5,918,337 | \$3,211,570 | \$4,420 |

<\$50M IN REVENUE

| Company or Fund | Auditor Type | Audit Fees/Audit-Related Fees | | | Revenue | | |
|--------------------------------------|--------------|-------------------------------|-------------|-------------|--------------|--------------|--------------|
| | | 2019 | 2018 | 2017 | 2019 | 2018 | 2017 |
| Pro Dex Inc | National | \$196,209 | \$151,638 | \$172,038 | \$27,172,000 | \$22,465,000 | \$21,943,000 |
| Pacific Health Care Organization Inc | Regional | \$59,661 | \$56,161 | \$55,997 | \$7,100,411 | \$6,796,910 | \$6,505,527 |
| Pfenex Inc. | Big 4 | \$898,000 | \$460,000 | \$362,000 | \$43,146,000 | \$14,857,000 | \$28,780,000 |
| Pierre Corp. | Regional | \$22,510 | \$19,500 | \$12,250 | \$- | \$- | \$- |
| Plumas Bancorp | National | \$268,000 | \$218,000 | \$179,000 | \$44,190,000 | \$40,967,000 | \$37,233,000 |
| Pulse Biosciences, Inc. | Big 4 | \$415,000 | \$170,000 | \$87,000 | \$- | \$- | \$- |
| Pacific Mercantile Bancorp | National | \$418,420 | \$362,189 | \$322,775 | \$45,994,000 | \$48,922,000 | \$43,742,000 |
| Pharmacyte Biotech, Inc. | Regional | \$154,047 | \$152,614 | \$132,702 | \$- | \$1,200,000 | \$1,200,000 |
| Polar Power, Inc. | Regional | \$171,056 | \$133,854 | \$174,110 | \$24,801,141 | \$24,046,400 | \$14,418,726 |
| Petroteq Energy Inc. | Regional | \$155,000 | \$95,000 | \$- | \$59,335 | \$- | \$- |
| Parallax Health Sciences, Inc. | Regional | \$70,000 | \$120,000 | \$160,000 | \$128,600 | \$11,739 | \$94,937 |
| Principia Biopharma Inc. | Big 4 | \$788,000 | \$1,478,000 | \$360,000 | \$35,160,000 | \$69,137,000 | \$5,247,000 |
| Plus Therapeutics | National | \$383,000 | \$384,000 | \$378,000 | \$6,379,000 | \$6,654,000 | \$6,411,000 |
| Proteo Inc | Regional | \$65,000 | \$75,000 | \$57,000 | \$- | \$205,992 | \$199,293 |
| Protagonist Therapeutics, Inc | Big 4 | \$1,185,300 | \$785,570 | \$930,250 | \$231,000 | \$30,925,000 | \$20,063,000 |
| Patriot Scientific Corp | Regional | \$62,700 | \$51,100 | \$64,350 | \$- | \$4,500,000 | \$4,500,000 |
| Purebase Corp | Regional | \$87,250 | \$68,000 | \$86,500 | \$361,930 | \$564,296 | \$484,706 |
| Pure Bioscience, Inc. | National | \$123,000 | \$164,000 | \$173,000 | \$1,909,000 | \$1,774,000 | \$1,831,000 |
| Qualstar Corp. | Regional | \$85,500 | \$78,250 | \$84,362 | \$13,349,000 | \$12,229,000 | \$10,641,000 |
| Quanta Inc | Regional | \$40,000 | \$37,000 | \$8,000 | \$1,268,988 | \$- | \$- |
| Quicklogic Corp | National | \$436,984 | \$399,100 | \$393,500 | \$10,310,000 | \$12,629,000 | \$12,149,000 |
| RAPT Therapeutics, Inc. | Big 4 | \$1,968,040 | \$578,000 | \$- | \$- | \$- | \$- |
| RC-1, Inc. | Regional | \$28,950 | \$28,500 | \$21,500 | \$99,728 | \$163,500 | \$155,000 |
| Arcus Biosciences, Inc. | Big 4 | \$907,000 | \$603,050 | \$1,312,690 | \$15,000,000 | \$8,353,000 | \$- |
| Ridgefield Acquisition Corp | Regional | \$16,000 | \$16,000 | \$8,500 | \$- | \$- | \$- |
| Resonant Inc | National | \$419,317 | \$240,059 | \$192,013 | \$735,000 | \$524,000 | \$653,000 |
| Regulus Therapeutics Inc. | Big 4 | \$359,000 | \$569,000 | \$664,000 | \$6,832,000 | \$72,000 | \$72,000 |
| Ra Medical Systems, Inc. | Big 4 | \$925,105 | \$1,604,420 | \$66,289 | \$6,825,000 | \$6,257,000 | \$5,870,000 |
| Royale Energy, Inc. | Regional | \$226,000 | \$180,824 | \$184,352 | \$2,377,721 | \$3,283,040 | \$1,007,379 |
| Rego Payment Architectures, Inc. | Regional | \$72,650 | \$70,000 | \$69,000 | \$34,485 | \$46,755 | \$- |
| Research Solutions, Inc. | Regional | \$112,882 | \$106,124 | \$88,140 | \$28,793,922 | \$28,018,400 | \$25,747,276 |

<\$50M IN REVENUE

| Company or Fund | Auditor Type | Audit Fees/Audit-Related Fees | | | Revenue | | |
|--|--------------|-------------------------------|-------------|-------------|--------------|--------------|---------------|
| | | 2019 | 2018 | 2017 | 2019 | 2018 | 2017 |
| Ritter Pharmaceuticals Inc | National | \$132,000 | \$130,000 | \$106,419 | \$- | \$- | \$- |
| Reviv3 Procure Co | Regional | \$42,500 | \$42,500 | \$30,500 | \$992,670 | \$933,218 | \$582,005 |
| Revance Therapeutics, Inc. | Big 4 | \$1,296,600 | \$1,574,000 | \$752,645 | \$413,000 | \$3,729,000 | \$262,000 |
| Rezolute, Inc. | Regional | \$142,035 | \$143,086 | \$122,271 | \$- | \$- | \$- |
| Socket Mobile, Inc. | Regional | \$98,000 | \$95,000 | \$95,000 | \$19,253,105 | \$16,454,400 | \$21,285,800 |
| Sugarmade, Inc. | Regional | \$56,658 | \$48,769 | \$157,910 | \$4,367,644 | \$4,439,320 | \$4,100,560 |
| Simlatus Corp | Regional | \$30,000 | \$35,500 | \$21,500 | \$32,698 | \$23,405 | \$68,955 |
| Salon Media Group Inc | Regional | \$35,000 | \$75,000 | \$115,000 | \$- | \$4,537,000 | \$4,570,000 |
| Saleen Automotive, Inc. | Regional | \$74,790 | \$57,600 | \$120,880 | \$13,708,215 | \$6,039,657 | \$- |
| Soleno Therapeutics Inc | National | \$266,481 | \$334,092 | \$267,012 | \$- | \$1,326,470 | \$1,452,788 |
| Simulations Plus Inc | Regional | \$284,145 | \$242,590 | \$233,575 | \$33,970,440 | \$29,666,500 | \$24,137,913 |
| Semler Scientific, Inc. | National | \$402,000 | \$200,650 | \$186,425 | \$35,436,000 | \$21,491,000 | \$12,452,000 |
| Sonoma Pharmaceuticals, Inc. | National | \$227,500 | \$246,700 | \$294,396 | \$18,970,000 | \$16,658,000 | \$12,825,000 |
| Sunesis Pharmaceuticals Inc | Big 4 | \$435,544 | \$488,509 | \$497,765 | \$2,073,000 | \$237,000 | \$669,000 |
| Social Reality, Inc. | Regional | \$215,000 | \$125,000 | \$100,000 | \$3,343,000 | \$9,880,610 | \$23,348,714 |
| Sorrento Therapeutics, Inc. | Big 4 | \$3,109,210 | \$2,213,740 | \$1,394,390 | \$31,432,000 | \$21,193,000 | \$151,856,000 |
| Sunstock, Inc. | Regional | \$83,615 | \$65,000 | \$55,000 | \$6,148,441 | \$414,565 | \$10,497 |
| Sutter Rock Capital Corp. | Big 4 | \$989,676 | \$679,327 | \$736,000 | \$1,495,724 | \$1,617,836 | \$852,768 |
| Shotspotter, Inc | Regional | \$334,757 | \$340,830 | \$226,826 | \$40,752,000 | \$34,753,000 | \$23,763,000 |
| Star Alliance International Corp. | Regional | \$77,000 | \$15,200 | \$15,600 | \$- | \$- | \$- |
| Starco Brands, Inc. | Regional | \$31,000 | \$34,180 | \$31,000 | \$234,698 | \$126,162 | \$8,185 |
| Sutro Biopharma, Inc. | Big 4 | \$1,003,790 | \$1,645,380 | \$436,450 | \$42,736,000 | \$38,419,000 | \$51,741,000 |
| Satsuma Pharmaceuticals, Inc. | Big 4 | \$1,383,990 | \$- | \$- | \$- | \$- | \$- |
| Service Team Inc. | Regional | \$32,000 | \$39,000 | \$33,000 | \$3,913,174 | \$3,329,880 | \$3,673,673 |
| Firsthand Technology Value Fund, Inc. | Regional | \$37,500 | \$42,000 | \$40,000 | \$1,789,651 | \$3,467,840 | \$1,565,791 |
| Shockwave Medical, Inc. | Big 4 | \$1,249,180 | \$1,111,730 | \$- | \$42,927,000 | \$12,263,000 | \$1,719,000 |
| Seychelle Environmental Technologies Inc | Regional | \$69,900 | \$68,080 | \$195,400 | \$3,153,429 | \$5,279,380 | \$4,378,436 |
| Taitron Components Inc | Regional | \$68,000 | \$71,000 | \$78,400 | \$6,783,000 | \$8,222,000 | \$7,622,000 |
| Tricida, Inc. | Big 4 | \$1,975,780 | \$2,381,170 | \$502,521 | \$- | \$- | \$- |
| Tech Central, Inc. | Regional | \$15,500 | \$15,500 | \$15,100 | \$22,095 | \$38,950 | \$39,250 |
| Toucan Interactive Corp | Regional | \$20,500 | \$1,000 | \$8,500 | \$- | \$8,200 | \$8,200 |

<\$50M IN REVENUE

| Company or Fund | Auditor Type | Audit Fees/Audit-Related Fees | | | Revenue | | |
|---|--------------|-------------------------------|-------------|-----------|--------------|--------------|---------------|
| | | 2019 | 2018 | 2017 | 2019 | 2018 | 2017 |
| Tracon Pharmaceuticals, Inc. | Big 4 | \$355,067 | \$343,053 | \$319,636 | \$- | \$3,000,000 | \$8,755,000 |
| Tearlab Corp. | National | \$263,000 | \$275,000 | \$328,000 | \$22,655,000 | \$24,999,000 | \$26,263,000 |
| Thc Therapeutics, Inc. | Regional | \$9,000 | \$27,500 | \$7,000 | \$- | \$- | \$- |
| Thermogenesis Holdings, Inc. | National | \$401,000 | \$357,000 | \$294,000 | \$13,047,000 | \$9,672,000 | \$12,030,000 |
| Tocagen Inc | Big 4 | \$470,146 | \$469,900 | \$574,376 | \$27,000 | \$18,036,000 | \$41,000 |
| Toga Ltd | Regional | \$30,000 | \$50,400 | \$13,000 | \$8,847,927 | \$1,254,495 | \$- |
| TOMI Environmental Solutions, Inc. | Regional | \$122,000 | \$108,000 | \$99,000 | \$12,147,920 | \$5,584,610 | \$4,993,668 |
| TPT Global Tech, Inc. | Regional | \$112,500 | \$103,910 | \$130,000 | \$13,126,874 | \$937,069 | \$2,115,160 |
| Turning Point Therapeutics, Inc. | Big 4 | \$1,105,640 | \$315,847 | \$- | \$- | \$- | \$- |
| Tejon Ranch Co | Big 4 | \$496,898 | \$676,725 | \$615,800 | \$49,523,000 | \$45,619,000 | \$35,657,000 |
| Trio-Tech International | Regional | \$220,520 | \$236,140 | \$229,020 | \$39,198,000 | \$42,361,000 | \$38,538,000 |
| Terra Tech Corp. | National | \$611,587 | \$1,020,570 | \$435,875 | \$28,050,000 | \$31,333,600 | \$35,800,844 |
| TPG RE Finance Trust, Inc. | Big 4 | \$825,000 | \$760,000 | \$555,000 | \$1,754,000 | \$1,307,000 | \$198,903,000 |
| Therapeutic Solutions International, Inc. | Regional | \$58,000 | \$81,280 | \$80,000 | \$27,495 | \$3,484 | \$2,851 |
| Titan Pharmaceuticals Inc | National | \$461,322 | \$302,204 | \$210,824 | \$4,002,000 | \$6,618,000 | \$215,000 |
| United Security Bancshares | National | \$286,221 | \$287,438 | \$202,865 | \$41,024,000 | \$40,281,000 | \$37,236,000 |
| Unity Biotechnology, Inc. | Big 4 | \$1,111,000 | \$1,884,000 | \$442,000 | \$- | \$1,382,000 | \$- |
| Us-China Biomedical Technology, Inc. | Regional | \$19,500 | \$27,500 | \$10,000 | \$- | \$- | \$- |
| United States Gasoline Fund, LP | Regional | \$45,000 | \$45,000 | \$45,000 | \$520,297 | \$724,902 | \$460,679 |
| United States Diesel-Heating Oil Fund, LP | Regional | \$25,000 | \$25,000 | \$25,000 | \$48,095 | \$48,095 | \$33,539 |
| UNICO American Corp | Regional | \$214,000 | \$211,000 | \$206,000 | \$31,372,814 | \$33,612,800 | \$36,791,482 |
| United States Natural Gas Fund, LP | Regional | \$165,000 | \$165,000 | \$165,000 | \$- | \$- | \$- |
| United States 12 Month Natural Gas Fund, LP | Regional | \$25,000 | \$25,000 | \$25,000 | \$- | \$624,970 | \$- |
| Urovant Sciences Ltd. | Big 4 | \$725,750 | \$159,190 | \$- | \$- | \$- | \$- |
| United States Commodity Index Funds Trust | Regional | \$165,000 | \$165,000 | \$165,000 | \$8,161,386 | \$10,487,600 | \$4,132,628 |
| United States 12 Month Oil Fund, LP | Regional | \$45,000 | \$45,000 | \$45,000 | \$1,222,162 | \$1,392,210 | \$889,110 |
| USCF Funds Trust | Regional | \$50,000 | \$50,000 | \$50,000 | \$348,463 | \$115,370 | \$23,712 |
| Vitality Biopharma, Inc. | Regional | \$119,480 | \$74,983 | \$50,301 | \$258,288 | \$102,419 | \$163,363 |
| Generation Next Franchise Brands, Inc. | Regional | \$97,000 | \$80,268 | \$66,494 | \$18,397,434 | \$890,594 | \$1,117,999 |
| Verb Technology Company, Inc. | Regional | \$173,000 | \$57,000 | \$59,498 | \$11,445,000 | \$32,000 | \$6,000 |
| Veritone, Inc. | National | \$449,599 | \$526,900 | \$687,700 | \$49,427,000 | \$27,047,000 | \$14,413,000 |

<\$50M IN REVENUE

| Company or Fund | Auditor Type | Audit Fees/Audit-Related Fees | | | Revenue | | |
|--------------------------------------|--------------|-------------------------------|-------------|-------------|--------------|--------------|--------------|
| | | 2019 | 2018 | 2017 | 2019 | 2018 | 2017 |
| Rayont Inc. | Regional | \$11,000 | \$10,000 | \$15,500 | \$- | \$23,048 | \$657,839 |
| Vir Biotechnology, Inc. | Big 4 | \$2,000,000 | \$438,000 | \$- | \$8,091,000 | \$10,668,000 | \$2,708,000 |
| Viking Therapeutics, Inc. | National | \$179,032 | \$163,662 | \$159,160 | \$- | \$- | \$- |
| Vistagen Therapeutics, Inc. | National | \$226,200 | \$216,041 | \$204,250 | \$- | \$- | \$1,250,000 |
| Vaxart, Inc. | Big 4 | \$394,143 | \$693,046 | \$411,351 | \$9,862,000 | \$4,159,000 | \$8,900,000 |
| Energous Corp | National | \$392,389 | \$170,542 | \$167,581 | \$200,143 | \$514,823 | \$1,154,009 |
| Western Asset Mortgage Capital Corp. | Big 4 | \$2,096,340 | \$1,415,360 | \$1,498,250 | \$- | \$- | \$- |
| Exagen Inc. | National | \$928,165 | \$188,846 | \$- | \$40,387,000 | \$32,440,000 | \$26,810,000 |
| XOMA Corp | Big 4 | \$588,900 | \$597,982 | \$792,543 | \$18,370,000 | \$5,299,000 | \$52,690,000 |
| XSUNX Inc | Regional | \$27,000 | \$37,700 | \$37,700 | \$1,608,723 | \$665,017 | \$1,172,972 |
| YBCC, Inc. | Regional | \$40,000 | \$40,000 | \$41,385 | \$2,586,773 | \$2,248,250 | \$2,103,890 |
| Yew Bio-Pharm Group, Inc. | Regional | \$125,000 | \$96,000 | \$91,000 | \$27,883,649 | \$37,596,900 | \$40,539,690 |
| Zogenix, Inc. | Big 4 | \$2,110,000 | \$1,418,000 | \$1,429,000 | \$3,648,000 | \$- | \$9,821,000 |
| Zosano Pharma Corp. | National | \$462,580 | \$360,733 | \$200,518 | \$- | \$- | \$- |